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1641

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#12

PTO/SB/21 (03-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/020,008
	Filing Date	12/13/2001
	First Named Inventor	George Jackowski
	Art Unit	1645
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	2132.089

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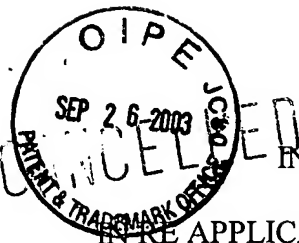
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Ferris H. Lander McHale & Stavin, P.A.
Signature	<i>Ferris H. Lander</i>
Date	9/23/2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#12

IN RE APPLICANT

: Jackowski et al.

INVENTION:



: APOLIPOPROTEIN BIOPOLYMER MARKERS
PREDICTIVE OF ALZHEIMERS DISEASE

SERIAL NUMBER

: 10/020,008

FILING DATE

: December 13, 2001

EXAMINER:

: (not assigned yet)

GROUP ART UNIT

: 1645

ATTORNEY DOCKET NO.

: 2132.089

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CERTIFICATE UNDER 37 CFR 1.8(a)

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Commissioner for Patents
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Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, the references cited on the accompanying form PTO-1449 are hereby brought to the attention of the Examiner for independent evaluation. A copy of each reference is enclosed.

In accordance with 37 C.F.R. §1.97(e), Applicants hereby certify that each item of information contained in the accompanying Supplemental Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office, namely an International Search Report for a counterpart European patent application, not more than three months prior to the filing of the accompanying Supplemental Information Disclosure Statement. A copy of the International Search Report is also enclosed, which satisfies the requirement for a concise statement of relevancy pursuant to M.P.E.P. §609 (1995).

Applicants submit that the present invention is patentable over these references.

Accordingly, pursuant to 37 C.F.R. §1.97(e), Applicants request consideration and entry of the Supplemental Information Disclosure Statement.

Date: 9/23/2003

Respectfully submitted,

By: Ferris H. Lander

Ferris H. Lander

Registration No. 43,377

McHale & Slavin, P.A.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/020,008
Filing Date	12/13/2001
First Named Inventor	George Jackowski
Art Unit	1645
Examiner Name	
Attorney Docket Number	2132.089

Sheet	1	of	3
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

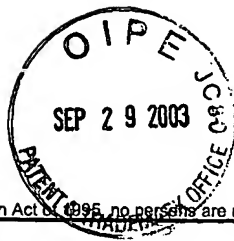
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO99/31227	06/24/1999	Univ Western Ontario		
		WO01/75454	10/11/2001	Oxford Glycosciences		
		WO01/63294	08/30/2001	Oxford Glycosciences		
		WO02/059604	08/01/2002	Oxford Glycosciences		
		WO02/088750	11/07/2002	Oxford Glycosciences		
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Art Unit	1645
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Attorney Docket Number	2132.089

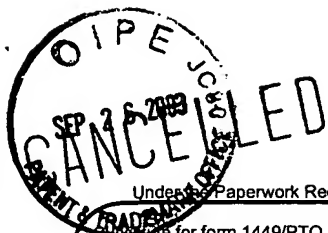
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO01/77124	10/18/2001	Genaissance Pharm.		
		WO01/75177	10/11/2001	Government of USA		
				as represented by		
				The Secretary, Dept		
				Health & Human Serv.		

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Filing Date	12/13/2001
First Named Inventor	George Jackowski
Art Unit	1645
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Sheet 3 of 3

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		J. SILKENSEN et al, "Identification of clusterin sequences mediating renal tubular cell interactions", J. Peptide Res., 54(5):449-457 (November, 1999)	
		A. CSASZAR et al, "Association of the apolipoprotein A-IV codon 360 mutation in patients with Alzheimer's disease", Neuroscience Letters, 230(3):151-154 (1997)	
		S. HARR et al, "Brain Expression of Apolipoproteins E, J, and A-I in Alzheimer's Disease", J. Neurochem., 66(6):2429-2435 (1996)	
		B. VERGES et al, "Macrovascular disease is associated with increased plasma apolipoprotein A-IV levels in NIDDM", Diabetes, 46(1):125-132 (January, 1997)	
		P. WONG et al, "Molecular characterization of human TRPM-2/clusterin, a gene associated with sperm maturation, apoptosis and neurodegeneration", Eur. J. Biochem., 221(3):917-925 (1994)	
		N. DEMEESTER et al, "Characterization and functional studies of lipoproteins, lipid transfer proteins, and lecithin:cholesterol acyltransferase in CSF of normal individuals and patients with Alzheimer's disease", J. Lipid Res., 41(6):963-974 (June, 2000)	
		R. STEWART, "Cardiovascular factors in Alzheimer's disease", J. Neurol. Neurosurg. Psychiatry, 65(2):143-147 (1998)	
		J. SLEMMON et al, "Profiling of endogenous peptides as a tool for studying development and neurological disease", Biopolymers, 43(2):157-170 (1997)	

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